

# JCWSCS 2 1 JUN 2004

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Application Number 10/039,956

TRANSMITTAL Filing Date October 23, 2001

TRANSIVIT I AL	rming bate	October 23, 2001		
FORM	First Named Inventor	Melissa K. Carpenter, et al.		
(to be used for all correspondence after initial	filing) Art Unit	1632		
	Examiner Name	Thai-An N. Ton		
Total Number of Pages in This Submission	5 Attorney Docket Number	091/009C		
	ENCLOSURES (Check all that	(apply)		
Fee Transmittal Form (1 page)  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks  Request for Corrected Filing Receivers	Other Enclosure(s) (please Identify below):		
Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	TURE OF APPLICANT, ATTORNE	EY OR AGENT		
Firm				
or Individual name	J. Michael Schiff, Registrat	tion No. 40,253		
Signature	the state of the s			
Date /	May 25/04	·		
CI	RTIFICATE OF TRANSMISSION	MAII ING		
I hereby certify that this correspondence is be	eing facsimile transmitted to the USPTO or delope addressed to: Commissioner for Pate	deposited with the United States Postal Service with ents, P.O. Box 1450, Alexandria, VA 22313-1450 on		
Signature	Laren Gillin	Date June 2004		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### CERTIFICATE OF DELIVERY VIA FACSIMILE

I hereby certify that this paper is being delivered via Facsimile to the U.S. Patent Office in accordance with 37 CFR § 1.6(d), addressed to the Commissioner for Patents, Alexandria, VA 22313-1450, on the date indicated:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Melissa K. Carpenter, et al.

Filing Date: October 23, 2001

Serial No.: 10/039,956

Docket: 091/009C

For: USE OF HUMAN EMBRYONIC STEM CELLS FOR

DRUG SCREENING AND TOXICITY TESTING

Art Unit: 1632

Examiner: Thái-An N. Ton

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Filing Receipt marked to show the correction that is needed.

The correction is as follows:

Please correct the title to read: Use of Human Embryonic Stem Cells for Drug Screening and Toxicity Testing.

This request for title change is made pursuant to the Response to Restriction Requirement and Preliminary Amendment filed with the Patent Office on October 23, 2003.

PATENT 10/039,956 Docket: 091/009C

No fee is believed due with respect to the filing of this paper. However, should the Patent Office determine that a fee is required for consideration of this paper, applicants hereby petition for such relief, and authorizes the Assistant Commissioner to charge any fees due to Deposit Account No. 07-1139, referencing the docket number indicated above.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

J. Michael Schiff

Registration No. 40,253

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May 24, 2004







## United States Patent and Trademark Office

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/039,956	10/23/2001	165	934	091/009C	15	, 36	13
022869 GERON CORPORATION DE 230 CONSTITUTION DE MENLO PARK, CA 940	ON PRIVATE IN	R 1 6 2002	Meron ip	GROUP FILLI	NG RECEI	PT JJMMWWW	N NO. 1602
		FAITH CHEAR	~55(	SKETED	Ę	Date Mailed:	04/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Melissa K. Carpenter, Castro Valley, CA; Walter D. Funk, Hayward, CA; Joseph D. Gold, San Francisco, CA; Margaret S. Inokuma, San Jose, CA; Chunhui Xu, Cupertino, CA;

#### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/859,291 05/16/2001 AND CLAIMS BENEFIT OF 60/175,581 01/11/2000 AND CLAIMS BENEFIT OF 60/213,740 06/22/2000 AND CLAIMS BENEFIT OF 60/213,739 06/22/2000 AND CLAIMS BENEFIT OF 60/216,387 07/07/2000 AND CLAIMS BENEFIT OF 60/220,064 07/21/2000

### Foreign Applications

PCT/US01/01030 01/10/2001

If Required, Foreign Filing License Granted 04/10/2002

Projected Publication Date: 07/18/2002

Non-Publication Request: No

Early Publication Request: No

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Page 2 of 2





**Title** 

- Techniques for growth and differentiation of human pluripotent stem cells Use of Human EmBRyonic Stim Cills FOR DRUG Screening and Toxicity Tristing **Preliminary Class** 

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